

# Processes, Channels, and Semaphores

(Version 2)

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## *ABSTRACT*

An interface to the concurrency features of the transputer is described. The interface is a library of C functions that allow the user to implement multiple processes, interprocess communication through message passing or shared data, and semaphores. This second version of the software implements several new functions and re-implements several existing functions to fix a few bugs and enhance performance. The new functions provide better control over internal memory usage and provide a means of establishing reliable communication between processors.

## **Introduction**

The transputer has a large set of instructions for implementing concurrent systems. The set includes instructions to start, stop, and pass messages between processes. The hardware includes features to block and restart processes waiting on communication and to select a new process after a predetermined timeslice has elapsed, placing the timesliced process at the end of an active process queue.

The subroutine library described below allows full access to these concurrency features. The package is intended as the lowest level interface in a concurrent system. Higher level packages can be built using the described routines.

## **Concurrency**

Before a new process can be executed, a stack frame must be allocated for it. The transputer contains a single 32 bit linear address space that all processes execute within. Stack space for a process is allocated out of this space using `malloc()`. After allocating the space, the stack frame is initialized to a valid state for the process to begin executing. A new process is allocated as follows:

```
#include <con.h>
Process *ProcAlloc(func, sp, nparam, p1, p2, ..., pn)
    int    (*func)();
    int    sp;
    int    nparam;
```

`ProcAlloc()` takes a pointer to a function that contains the code for the process. The parameter `sp` indicates the amount of stack space required for the process, a value of zero for this parameter causes the routine to allocate `DEFAULTWSSIZE` bytes (64K) of stack space. `nparam` specifies the number of words of space to allocate off the stack space initially for parameters to the function.

On successful completion, `ProcAlloc()` returns a pointer to the structure that constitutes the process. If `ProcAlloc()` fails for some reason or it is unable to allocate stack space, it returns a null pointer. If a small stack space is requested and it is not sufficient to produce the initial stack frame with the requested parameters, the stack size will be increased appropriately.

`ProcAlloc()` uses `malloc()` to allocate the space for the `Process` structure and the stack space for the process. If it is desirable to manage the allocation of this space in the application program, the lower level routine `ProcInit()` can be used:

```
#include <con.h>
ProcInit(p, func, ws, wssize, nparam, p1, p2, ..., pn)
    Process *p;
    int     (*func)();
    char    *ws;
    int     wssize;
    int     nparam;
```

`ProcInit()` takes a pointer to a `Process` structure (`p`) and a pointer to the stack space to be utilized (`ws`) and initializes the process structure and workspace according to the passed parameters `func`, `wssize`, and the parameters for the process. `ProcInit()` is the lower level routine used by `ProcAlloc()`. `ProcInit()` does not have a return value, it initializes the passed process pointer and workspace.

Once a process is allocated or initialized, the parameters can be altered:

```
#include <con.h>
ProcParam(p, param1, param2, ..., paramN)
    Process *p;
```

There are several routines for executing processes. They are listed below:

```
# include <con.h>
ProcRun(p)
    Process *p;

ProcRunHigh(p)
    Process *p;

ProcRunLow(p)
    Process *p;

ProcPar(p1, p2, p3, ..., pn, 0)
    Process *p1, *p2, ..., *pn;
```

```

ProcParList(plist)
    Process **plist;

ProcPriPar(phigh, plow)
    Process *phigh, *plow;

```

`ProcRun()`, `ProcRunHigh()`, and `ProcRunLow()` execute unsynchronized processes. The process begins execution and is out of the control of the initiating process. The initiating process has no means for determining or altering the state of the created process except through a communication means the user explicitly establishes. `ProcRun()` executes the process at the priority of the current process, `ProcRunHigh()` executes the process at high priority and `ProcRunLow()` executes the process at low priority. Refer to transputer technical documentation for a description of the differences between high and low priority processes.

`ProcPar()`, `ProcParList()`, and `ProcPriPar()` start a group of processes. Control is returned to the initiating process when all of the initiated processes terminate. `ProcPar()` takes an explicit null terminated list of processes, all of the processes are executed at the current priority. `ProcParList()` takes a null terminated array of pointers to processes, all of the processes are executed at the priority of the current process. `ProcPriPar()` takes two parameters. The first process is executed at high priority and the second is executed at low priority. As with the other par functions, `ProcPriPar()` returns when both processes complete.

## Process Details

The first parameter to a newly executing process is a pointer to its own process structure, the second parameter is the first parameter assigned by the last call to `ProcAlloc()` or `ProcParam()`. An example of process creation and instantiation is shown below:

```

newprocess(p, apple, pear, banana)
    Process *p;
    {
    /* do stuff here */
    }

main()
{
    Process *x;
    int     apple, pear, banana;

    /* Three parameters: apple, pear, and banana */
    x = ProcAlloc(newprocess, 0, 3, apple, pear, banana);
    ProcRun(x);

    /* Do other stuff while newprocess() is running */
}

```

Processes share the same global data space. Any statics or externals used by a process are shared by other executing processes. The only private data space to a process are auto variables. Processes also share the same heap, calls to `malloc()` and `free()` allocate data from the same heap space.

## Interprocess Communication

The transputer supports a message passing protocol for interprocess communication. A channel is a unidirectional message stream between two processes. When a process performs input or output to a channel, the process is blocked until the corresponding process performs its respective output or input. This way, channels can be used as a synchronization mechanism in addition to a communication mechanism. The only caveat in channel operation is that the two process must perform operations of the same data size. If one process attempts to output 50 bytes while the corresponding process attempts in input 49 bytes, an unpredictable operation will result. There are six routines for performing communication along channels:

```
#include <con.h>
ChanOut (c, cp, cnt)
    Channel *c;
    char    *cp;
    int     cnt;

ChanOutChar(c, ch)
    Channel *c;
    char    ch;

ChanOutInt(c, n)
    Channel *c;
    int     n;

ChanIn(c, cp, cnt)
    Channel *c;
    char    *cp;
    int     cnt;

int
ChanInInt(c)
    Channel *c;

char
ChanInChar(c)
    Channel *c;
```

Channels require initialization before they can be used for communication. The following routines are provided for channel allocation and initialization. `ChanReset()` resets a channel, returning information that was already contained in the channel. `ChanAlloc()` returns a pointer to an initialized channel.

```

int
ChanReset(c)
    Channel *c;

Channel *
ChanAlloc()

```

The channel concept extends beyond the bounds of a single transputer. The four serial links of a T414 transputer correspond to eight channel pointers (four input, four output) with specific hardware addresses. These addresses are contained in con.h:

```

/* Addresses for physical links on T4's and T8's */
#define LINK0OUT ((Channel *) 0x80000000)
#define LINK1OUT ((Channel *) 0x80000004)
#define LINK2OUT ((Channel *) 0x80000008)
#define LINK3OUT ((Channel *) 0x8000000c)
#define LINK0IN  ((Channel *) 0x80000010)
#define LINK1IN  ((Channel *) 0x80000014)
#define LINK2IN  ((Channel *) 0x80000018)
#define LINK3IN  ((Channel *) 0x8000001c)

```

## Alternation

A series of calls are available to determine the status of channels and possibly wait until a channel is ready for input. There are six alternation routines:

```

int
ProcAlt(c1, c2, ..., cn, 0)
    Channel *c1;
    Channel *c2;
    Channel *cn;

int
ProcAltList(clist)
    Channel **clist;

int
ProcSkipAlt(c1, c2, ..., cn, 0)
    Channel *c1;
    Channel *c2;
    Channel *cn;

int
ProcSkipAltList(clist)
    Channel **clist;

```

```
int
ProcTimerAlt(time, c1, c2, ..., 0)
    Channel *c1;
    Channel *c2;
    Channel *cn;

int
ProcTimerAltList(time, clist)
    Channel **clist;
```

`ProcAlt()` and `ProcAltList()` cause the current process to block until one of the channels in its argument list is ready for input. On completion, the routine returns an index into the parameter list for the channel ready for input.

`ProcSkipAlt()` and `ProcSkipAltList()` check specified channels. If one of the channels is ready for input, and index into the parameter list is returned, otherwise -1 is returned. These routines do not block waiting for one of the channels, they return immediately.

`ProcTimerAlt()` and `ProcTimerAltList()` block the current process until one of the channels is ready for input or the value of the clock is after the time parameter. If the routine times out, a -1 is returned, otherwise an index into the parameter list is returned.

`ProcAlt()`, `ProcSkipAlt()` and `ProcTimerAlt()` take an explicit null terminated list of pointers to channels as parameters. `ProcAltList()`, `ProcSkipAltList()`, and `ProcTimerAltList()` take a null terminated array of pointers to channels as a parameter.

## Semaphores

The library provides a semaphore facility. Its use is discouraged, the routines are provided to make stdio functions behave reasonably in a concurrent environment. Transputers provide no explicit support for semaphores although they can be efficiently implemented using the concurrency instructions of the transputer.

An initialized semaphore can be created two ways:

```
#include <con.h>
Semaphore sem = SEMAPHOREINIT;

/* or */

Semaphore *newsem;
newsem = SemAlloc();
```

A semaphore is acquired like this:

```
#include <con.h>
SemP(sem)
    Semaphore sem;
```

Semaphores are released like this:

```
#include <con.h>
SemV(sem)
    Semaphore sem;
```

Note that both `SemP()` and `SemV()` take the semaphore as a parameter rather than a pointer to the semaphore. This is different than both channels and processes. `SemP()` blocks the current process and places it on a queue if the semaphore is in use, otherwise it sets the semaphore to acquired and execution continues. The routine will not return until the process successfully acquires the semaphore. `SemV()` releases the semaphore and runs the first process on the queue if any processes are waiting.

Semaphores are used in `libc` to make it behave reasonably in a concurrent environment. For instance, `malloc()`, `free()`, and `realloc()` have been modified to use semaphores to prevent the heap from being corrupted when multiple processes perform mallocs simultaneously. Each file descriptor also possesses a semaphore.

## Miscellaneous

The value of the clock can be obtained with the `Time()` function. `Time()` is an atomic function. The clock is different for low and high priority processes. The low priority clock is incremented every 64 uS, the high priority clock is incremented every 1 uS. Execution can be blocked until a specified time with the `ProcAfter()`. Execution can be suspended for a specified number of clock periods using `ProcWait()`. If it is necessary for a process to 'busy wait' on a resource, the process can be placed at the end of the active process queue by calling `ProcReschedule()`. A process can determine its

priority by calling `ProcGetPriority()`. This routine returns 1 for low priority processes and 0 for high priority processes. `ProcGetPriority()` is an atomic operation. A process can be descheduled using `ProcStop()`. Under normal circumstances, the process will never be rescheduled after `ProcStop()` is called. These miscellaneous functions are listed below:

```

int
Time()

ProcAfter(time)
    int    time;

ProcWait(time)
    int    time;

ProcReschedule()

int
ProcGetPriority()

ProcStop()

```

## Reliable Communication

On occasion it may be necessary to attempt communication along a channel that may be inoperative. The standard routines `ChanIn()` and `ChanOut()` are inadequate for this task. The standard routines cause the initiating process to stall until the communication completes. If the communication never completes due to a faulty connection, the initiating processes will never continue.

Four routines are provided:

```

ChanOutTimeFail(chan, cp, cnt, time)
    Channel *chan;
    char    *cp;
    int     cnt;
    int     time;

int
ChanOutChanFail(chan, cp, cnt, failchan)
    Channel *chan;
    char    *cp;
    int     cnt;
    Channel *failchan;

int
ChanInTimeFail(chan, cp, cnt, time)
    Channel *chan;
    char    *cp;
    int     cnt;
    int     time;

```

```

int
ChanInChanFail(chan, cp, cnt, failchan)
    Channel *chan;
    char    *cp;
    int     cnt;
    Channel *failchan;

```

The first three parameters to each of these routines have the same semantics as the routines `ChanIn()` and `ChanOut()`. These routines contain an additional parameter that allows the process to reschedule based on a terminating condition. In the case of `ChanInTimeFail()` and `ChanOutTimeFail()`, communication is attempted until the clock reaches the value of the `time` parameter to the routine. If communication completes before the timeout, the routines return a status of 0. If communication has not completed when the timeout occurs, the offending channel is reset and a status of 1 is returned from the function.

`ChanInChanFail()` and `ChanOutChanFail()` provide a similar facility, but instead of aborting communication when a certain time value is reached, communication is aborted when the parameter channel `failchan` is ready for input. This way, the decision to abort communication can be made by another process. As with the other routines, a return value of 0 indicates communication completed normally, a return value of 1 indicates that the communication was aborted by a message sent from another process. When communication is aborted, the channel is reset to prevent communication from completing at a later time.

These routines offer somewhat more overhead than their `ChanIn()` and `ChanOut()` counterparts. They should be used to establish the integrity of the link between two unfamiliar processors and not as the standard method of communicating between two processors. When a failure occurs, the problem of re-establishing reliable communication is a complex one not addressed by these routines.

## Internal Memory and Stack Frames

The single most important optimization to improve the performance of code running on transputers is to place the stack frame of compute intensive code in the internal memory of the transputer. To aid in this process, a routine is provided to call a subroutine using an indicated area of memory as the stack space:

```

int
ProcCall(func, ws, wssize, p1, p2, p3, p4, p5)
    int    (*func)();
    char   *ws;
    int    wssize;

```

`ProcCall()` first builds a stack frame in the array `ws` of size `wssize` bytes. The stack frame is initialized with up to five parameters. After building the stack frame, the routine is called and the value returned by `ProcCall()` is the value returned by `func`. An example of its use is shown below:

```
#define SIZE 512
internal char stack[SIZE];
extern int compute();

main()
{
    ProcCall(compute, stack, SIZE);
}
```

In this case, the routine `compute()` is called with no parameters. The routine is executed with its stack stored in 512 bytes of internal memory. It's important that the routine `compute()` not exceed a maximum stack depth of 512 bytes. C programs show a typical 40% speed improvement by placing the stack in internal memory. The overhead of `ProcCall()` is about 5x the overhead of a standard procedure call.